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DOCKET NUMBER: 304768.01  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GILAD GOLAN  
Patent No.: 5974549  
Issued: October 26, 1999  
Serial No.: 08/825,102  
For: SECURITY MONITOR  
Maintenance Fee: 8<sup>th</sup> Year – March 30, 2007  
4<sup>th</sup> Year – April 28, 2003

## CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR §1.8(a)

The undersigned hereby certifies that this document is being sent via facsimile to 571-273-6500.  
ATTN: Maintenance Fees, Receipts Accounting Div./Office of Finance, United States Patent & Trademark Office, on the 2nd day of February, 2011.

  
Eric R Matt

VIA FACSIMILE: 571-273-6500  
ATTN: Maintenance Fees  
Receipts Accounting Division  
Office of Finance  
U.S. Patent and Trademark Office

02/07/2011 MBANGURA 00000014 500463 5974549  
01 FC:1599 1330.00 DA

**CORRECTION OF ERROR IN SMALL ENTITY STATUS****PURSUANT TO 37 C.F.R. §1.28(c)**

02/07/2011 MBANGURA 00000013 500463 5974549  
01 FC:1599 535.00 DA

Sir:

In the above-identified case, it was discovered that, through error, the 4<sup>th</sup> and 8<sup>th</sup> year maintenance fees were paid inadvertently as a small entity.

Accordingly, Patentee submits herewith the deficiency payment of \$1865.00 for the 4<sup>th</sup> and 8<sup>th</sup> year maintenance fees. Pursuant to 37 C.F.R. §§ 1.28(c)(1), 1.28(c)(2)(i) and 1.28(c)(2)(ii), the itemization of the deficiency payment is as follows:

Type of fee erroneously paid as small entity	Current fee amount for non-small entity	Small entity fee actually paid and date paid	Deficiency Owed Amount
4 <sup>th</sup> Year Maintenance Fee	\$980.00	\$445.00 paid on 4/28/03	\$535.00
8 <sup>th</sup> Year Maintenance Fee	\$2480.00	\$1150.00 paid on 3/30/07	\$1330.00
<b>Total Deficiency Owed</b>			<b>\$1865.00</b>

Patent No.: 5,974,549

- 2 -

Serial No.: 08/825,102

In view of compliance with 37 C.F.R. §§ 1.28(c)(1), 1.28(c)(2)(i) and 1.28(c)(2)(ii), Patentee respectfully requests that the error in small entity status be excused.

Additionally, pursuant to 37 C.F.R. §1.28(d), it is requested that the submission of the deficiency payment be treated under 37 C.F.R. §1.27(g)(2) as a notification of a loss of entitlement to small entity status.

Please charge the deficiency of \$1865.00 to Deposit Account No. 50-0463 under Ref. No. 304768.01, so that the 4<sup>th</sup> and 8<sup>th</sup> year maintenance fee payments reflect non-small entity status.

Respectfully submitted,

By:



Brian Haslam, Reg. No. 56,372  
Microsoft Corporation  
One Microsoft Way  
Redmond, WA 98057  
Telephone: (425) 722-5749

Date: February 2, 2011

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PTO/SB/96 (07-09)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Microsoft CorporationApplication No./Patent No.: 5974549Filed/Issue Date: October 26, 1999Titled: SECURITY MONITORMicrosoft Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: GOLAN, GILAD To: SOLITON LTD.

The document was recorded in the United States Patent and Trademark Office at  
Reel 008627, Frame 0379, or for which a copy thereof is attached.

2. From: SOLITON LTD To: PELICAN SECURITY LTD

The document was recorded in the United States Patent and Trademark Office at  
Reel 014102, Frame 0191, or for which a copy thereof is attached.

3. From: PELICAN SECURITY LTD To: MICROSOFT CORPORATION

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Reel 014102, Frame 0145, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

February 2, 2011

Date

Brian Haslam

Attorney Reg No. 56,372

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

**22971**

OR

☐ Practitioner(s) named below (If more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with  
Customer Number:

**22971**

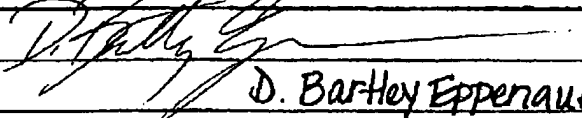
Assignee Name and Address:

**MICROSOFT CORPORATION  
ONE MICROSOFT WAY  
REDMOND, WA 98052**

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	12/20/2004	
Name	D. Bartley Eppner		Telephone	425-703-0645
Title	Assistant Secretary			

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PTO/SB/47 (03-09)

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**"FEE ADDRESS" INDICATION FORM**

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Fax to:  
571-273-6500

- OR -

**INSTRUCTIONS:** The issue fee must have been paid for application(s) listed on this form. In addition, only an address represented by a Customer Number can be established as the fee address for maintenance fee purposes (hereafter, fee address). A fee address should be established when correspondence related to maintenance fees should be mailed to a different address than the correspondence address for the application. **When to check the first box below:** If you have a Customer Number to represent the fee address. **When to check the second box below:** If you have no Customer Number representing the desired fee address, in which case a completed Request for Customer Number (PTO/SB/125) must be attached to this form. For more information on Customer Numbers, see the Manual of Patent Examining Procedure (MPEP) § 403.

For the following listed application(s), please recognize as the "Fee Address" under the provisions of 37 CFR 1.363 the address associated with:



Customer Number:

22971

OR



The attached Request for Customer Number (PTO/SB/125) form.

PATENT NUMBER (if known)	APPLICATION NUMBER
5974549	08/825102

Completed by (check one):



Applicant/Inventor



Signature



Attorney or Agent of record 56,372

(Reg. No.)

Brian Haslam

Typed or printed name



Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)

425-722-5749

Requester's telephone number



Assignee recorded at Reel \_\_\_\_\_ Frame \_\_\_\_\_

February 2, 2011

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.



\* Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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